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Facial Emotion Recognition Using an Enhanced Xception Network for Behavioral Analysis

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Abstract:

The rise in mental health issues such as depression and anxiety has necessitated efficient diagnostic tools. This study integrates facial recognition with psychological analysis, utilizing deep learning techniques to achieve accurate emotion recognition. An improved Xception network was developed, featuring densely connected depthwise separable convolution modules to optimize parameter usage and reduce computational demands, making it suitable for mobile applications. The model employs the FSRNet hypernetwork for facial feature extraction and multi-scale feature learning, achieving over 95% accuracy in facial emotion recognition. This approach provides a foundation for mental health prediction and diagnosis, significantly reducing the time and cost of psychological evaluations. Future research will focus on enhancing detection speed and extending functionality across platforms for broader applications in mental health analysis.

Keywords:

Deep Learning Face Correction; Human Face Correction; Xception.

1. Introduction

With the achievement of the battle for a well-off society in an all-round way in China, the focus of people's life has shifted from food, clothing, housing and tresure of life or study has brought people mental problems, such as depression, anxiety, bisexual affective disorder, and so on. Especially since the COVID-19, there have been more than 70 million patients with depression and 90 million patients with anxiety in the world. Professor Lu Lin, academician of the CAS Member and president of the Sixth Hospital of Peking University, said: "At present, the incidence rate of mental and psychological diseases in China has reached 17%"[1]. Therefore, it is urgent to pay attention to people's mental health.

With the development of current technosed because of its contactless, efficient and convenient features[2].

The method based on deep learning mainly uses convolution neural network to extract the characteristicinformation of the face in the image. Through the use of deep learning technology, the application of CNN algorithm to achieve the effect of face recognition has become the mainstream. More and more scholars, experts and business companies are trying to use the method of deep learning to carry out various face detection and psychological prediction.

This paper combines face recognition technology with psychology, builds a face emotion recognition model through deep learning and applying the Xception algorithm. By extracting facial feature values from the image samples of facial expressions, the recognition rate of facial emotion is more than 95%. Later, it can be used for preliminary prediction of human mental health, and then for diagnosis and treatment of human mental health, greatly reducing the cost and time of psychological diagnosis.

2. Face Image Preprocessing

In the process of face recognition, the subject may have involunt^{lum}ination, blurring, scale transformation and other characteristics caused by other reasons, so it is necessary to preprocess the image [3].

2.1 Face Correction

The methods of face correction include five-point alignment or 68-point alignment. This paper adopts the 68-point alignment method. Compared with the other method, this method has more face key poin out our of the detected object. Its principle is to recognize the face key points. It divides the face key points into internal key points and contour key points. The internal key points include a total of 51 key points, including eyebrows, eyes, nose and mouth, and the contour key points include 17 key points. Finally, the input image is output as a set of facial feature points through affine transformation, similar transformation and other methods to achieve the effect of facial correction[4]

2.2 FSRNet Technology of Face Reconstruction

Face reconstruction mainly relies on FSRNet technology to restore low-resolution face to highresolution face, that is, image clarity. FSRNet network structure is divided into coarse Image Super Resolution network and fine Image Super Resolution (hereinafter referred to as SR) network. The overall structure is as follows: The first step is to build a coarse SR network to generate a coarse Image High Resolution (hereinafter referred to as HR) image. In the second step, the coarse HR image will be sent to two branches. The first branch is the fine SR encoder, which is mainly used to extract image features; The second branch is a priori information prediction network, which estimates the landmark heatmap and analytic graph. Third, the image features and prior information will be sent to a fine SR decoder to recover the HR image. The specific network structure is shown in Figure 1:

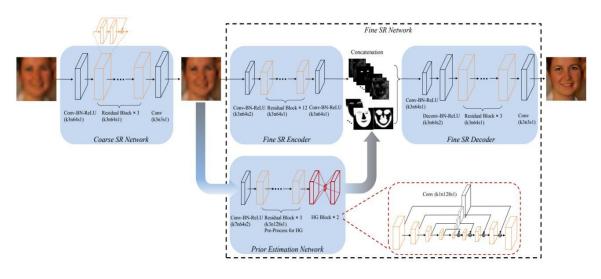


Figure 1. FSRNet Network Structure

3. Process and Pseudocode of Facial Emotion Recognition

3.1 Process of Facial Emotion Recognition

- Step 1: Import mode cv2 load_ Model and other functions and libraries
- Step 2: Load data and image parameters
- Step 3: Start video streaming;
- Step 4: extract the feature value of portrait
- Step 5: import the eigenvalues into the model to get the emotional label
- Step 6: Disconnect the video stream
- Step 7: Export images with emotional labels

3.2 Core Code Introduction

In the process of recognition, the code for emotion recognition is written in Python language. The code flow is as follows:

Begin

Readline (Keras Callback functions, Image preprocessor ImageDataGenerator,Numpy database, sklearn database)

Use (Adam optimizer, categorical_crossentropy loss function)

Printf Model Summary

Reader (data set file) For(i=1;

i<;i++)

{i Read the first picture

Extract the image feature value;

If feature value extraction succeeded

do brings eigenvalues into the model;

printf sentiment tags

else feature value extraction succeeded

Do brings the eigenvalues into the model;

printf sentiment tags

}

Picture=emotional label + recognition picture i

Printf picture

The implementation of the image preprocessing function above is to convert the input image data into float32 format and normalize the pixel value to 0-1. If thev2 parameter is true, the pixel value will be further converted to - 1-1. The implementation of image emotion analysis, by defining a mini XCEPTION model, is used to process images with input shapes of (48, 48, 1), in which num classes are 7 (that is, 7 different categories. The dataset labels are divided into 7 categories, namely: * 0: 'angle', 1: 'dispute', 2: 'fear', 3: 'happy', 4: 'sad', 5: 'enterprise', 6: 'neutral'.

It first uses haarcascade_frontalface_The default.xml model detects the face in the image (this model is obtained from the network), and then uses fer2013_mini_XCEPTION.33-0.65.hdf5 model (this model is built by the program cnn.py) recognizes the emotion on the face, and finally draws the recognized emotion label on the image and saves it to images/predicted_test_Image.png file.

4. Simulation and Implementation

This paper will download FER-2013 database from a website. This database has 35887 face images in total. After applying the model, the facial emotion in the image will be recognized and simulated, as shown in Figure 2. After simulation, seven emotions, such as surprise, happiness and anger, can be identified. The recognition accuracy of the system is above 95%, as shown in Figure 3.

	A	B	С	D	E	F	G	Н	1]	K	L	M	N
35858		3 PrivateTe:	85 77 61	69 88 93 8	0 67 55 63	69 70 75	76 76 91	109 125 139	151 161 1	71 172 182	189 196 20	2 198 191	183 180 1	81 170 1
35859		5 PrivateTe:	253 255	229 150 89	61 54 60 5	5 49 61 5	0 56 45 5	3 48 48 51 4	8 46 49 45	6 42 43 43 4	6 48 46 46	92 74 76 8	4 99 83 10	2 90 104
35860		4 PrivateTe:	11 11 11	13 20 27 3	8 41 38 34	20 13 10	39 85 10	2 115 128 13	6 137 141	143 141 14	6 144 145 1	49 149 15	3 146 108	38 16 17
35861		4 PrivateTe:	11 13 16	27 24 26 8	9 161 190	197 201 2	06 210 2	14 220 225 2	26 227 22	8 228 226 2	26 226 227	226 224 2	23 223 22	5 226 227
35862		3 PrivateTe:	27 42 62	91 112 11	3 122 123 1	19 124 12	29 131 13	7 141 145 1	51 154 157	161 168 17	70 171 171	175 182 19	1 197 204	210 212
35863		6 PrivateTe:	233 232	208 188 19	4 179 177	167 157 1	80 185 1	96 202 210 2	16 216 22	0 223 225 2	25 226 227	228 228 2	27 223 21	9 215 214
35864		2 PrivateTe:	73 54 63	76 82 71 6	7 69 73 72	92 98 117	7 119 142	167 202 20	7 209 220	237 243 249	250 251 2	51 248 242	231 209	175 155 1
35865		5 PrivateTe	196 196	197 197 19	8 198 198	196 176 1	48 122 1	08 112 119 1	26 167 21	7 224 218 2	16 218 215	209 205 1	98 197 19	5 188 179
35866		4 PrivateTe:	68 59 65	78 118 13	1 137 141 1	42 135 13	35 137 13	7 141 139 13	34 137 140	143 140 14	40 144 143	135 133 13	86 131 127	121 107
35867		3 PrivateTe:	102 109	109 106 10	4 107 112	109 116 1	19 117 1	22 117 110 1	18 114 11	1 118 119 1	24 121 127	146 145 1	43 139 14	5 153 170
35868		6 PrivateTe:	87 82 59	61 72 102	143 130 90	95 143 1	73 146 1:	24 123 102 1	12 114 90	73 85 53 31	7 43 49 73 8	7 109 115	116 98 86	95 105 9
35869		3 PrivateTe:	198 198	197 196 19	6 197 196	196 196 1	95 196 1	85 96 34 33 3	33 36 37 3	7 34 33 35 3	32 29 27 25	24 21 16 3	16 17 18 2	0 26 37 4
35870		2 PrivateTe:	204 209	215 218 21	4 214 214 :	217 205 1	75 170 1	64 77 0 7 12	13 13 14 2	20 18 11 19	36 26 25 32	21 19 9 2	2 41 42 28	21 27 31
35871		3 PrivateTe:	217 220	222 223 22	3 224 225 :	223 223 2	25 223 2	21 223 222 2	21 218 22	0 218 219 2	18 217 218	218 217 2	15 212 20	8 206 205
35872		2 PrivateTe:	68453	0 48 61 70	76 79 98 1	17 130 13	7 143 15:	2 156 158 16	4 172 172	168 170 17	1 174 179 1	76 176 17	5 173 175	169 163
35873		6 PrivateTe	112 102	98 89 98 13	33 164 185	180 179 :	185 169 1	76 178 156	166 148 97	93 102 104	103 89 88	79 93 80 8	31 107 107	92 83 79
35874		5 PrivateTe:	131 159	90 59 10 0	111011	00225	791111	1197556	10 10 11	9798191	2613691	3 15 25 5	59 45 48	43 163 1
35875		4 PrivateTe:	54 57 77	122 121 7	5 73 80 58 3	22 26 27 3	35 41 66	126 177 197	203 194 1	81 172 163	167 174 19	3 200 194	198 190 1	52 143 12
35876		5 PrivateTe:	43 43 51	73 94 97 1	02 95 99 1	07 126 14	4 154 17:	3 189 192 19	6 203 204	205 211 21	3 215 215 2	16 212 21	4 220 217	216 212
35877		5 PrivateTe	248 251	239 144 10	2 95 82 77	91 138 19	53 145 14	6 170 180 1	95 207 21:	214 212 20	04 207 204	185 201 20	01 192 177	174 186
35878		6 PrivateTe	29 29 27	31 49 56 2	9 19 22 20	34 43 55	71 85 94	98 101 104	110 113 11	5 120 122 1	121 119 116	115 115 :	04 96 92	84 75 62
35879		6 PrivateTe	139 143	145 154 15	9 168 176	181 190 1	91 195 1	99 203 205 2	06 210 21	3 213 213 2	12 213 215	215 215 2	15 213 21	4 216 215
35880		3 PrivateTe	0 39 81	30 104 97 5	1 64 68 46	41 67 53	68 70 54	73 55 49 76	52 21 0 13	10 7 15 10	362025	971473	8 58 100 1	1 49 75 9
35881		2 PrivateTe:	00616	19 31 47 1	3 26 19 17	315342	14 20 20	59 138 175	192 207 2	18 212 214	224 224 19	5 148 105	87 93 166	233 233
35882		2 PrivateTe:	164 172	175 171 17	2 173 178	181 188 1	92 197 2	02 206 208 2	10 210 21	1 210 210 2	13 212 213	217 216 2	13 214 21	4 216 215
35883		0 PrivateTe:	181 177	176 156 17	8 144 136	132 122 1	07 131 1	56 174 166 1	94 150 13	6 132 142 1	80 181 168	187 189 2	05 222 19	6 214 217
35884		6 PrivateTe	50 36 17	22 23 29 3	3 39 34 37	37 37 39	43 48 50	53 60 67 69	72 73 82 8	86 87 94 94	89 84 78 78	80 73 68	63 59 56 5	6 56 43 3
35885		3 PrivateTe	178 174	172 173 18	1 188 191	194 196 1	99 200 2	01 204 206 2	02 195 18	5 182 183 1	84 188 191	194 192 1	87 182 18	2 184 187
35886		0 PrivateTe	17 17 16	23 28 22 1	9 17 25 26	20 24 31	19 27 92	164 195 220	150 123 1	90 168 86 8	32 134 183	198 91 106	89 30 78	114 110
35887		3 PrivateTe	30 28 28	29 31 30 4	2 68 79 81	77 67 67	71 63 61	78 108 142	147 123 11	3 111 107 1	113 125 136	145 137	18 101 85	64 59 7:
35888		2 PrivateTe:	19 13 14	12 13 16 2	1 33 50 57	71 84 97	108 122	136 145 154	161 170 1	77 176 179	181 188 18	9 181 168	162 161 1	55 137 10
35889														

Figure 2. Part of original data

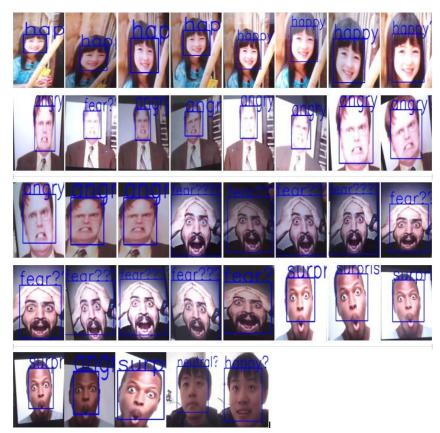


Figure 3. Partial Test Results

5. Conclusion

This paper proposes an emotion recognition method based on the improved Xception network. Through the dense connection of deeply separable convolution modules, it can reduce the amount of calculation parameters and make full use of model parameters, while taking into account the use of mobile terminals. The model uses the feature network extraction model for feature extraction and learning, which improves the ability of multi-scale features; Adopt FSRNet face hypernetwork model; At the same time, the Xception feature extraction network is applied to recognize the number of pictures, and the accuracy rate is more than 95%. In the future, the algorithm will be further improved to reduce the detection time. At the same time, the application on other platforms and psychological recognition and other functions will be studied to finally achieve mental health prediction, recognition

and diagnosis.

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